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METHOD AND SYSTEM FOR DATA PROCESSING FOR  
CONTROLLING A CACHE MEMORY

CROSS-REFERENCE TO RELATED APPLICATION

The present application claims priority from Japanese application JP2004-132479 filed on April 28, 2004, the content of which is hereby incorporated by  
5 reference into this application.

BACKGROUND OF THE INVENTION

The present invention relates to a cache control technique for executing a cache flash process of a storage unit having a cache memory at the timing  
10 determined externally of the storage unit.

A cache control method is available in which for the purpose of stopping a disk storage unit at the timing that the power supply is interrupted, the contents of a cache memory inside the disk storage unit  
15 is forcibly flashed and stored in a magnetic disk or a disk memory device. Such a technique is disclosed in JP-A-10-254780.

SUMMARY OF THE INVENTION

The conventional technique as above faces a  
20 problem that a flash command cannot be applied to the storage unit from a program operating in a data processing unit. In addition, the capacity of the

cache memory increases and the conventional technique has a disadvantage that data stored in the cache memory is not stored in the disk memory device until the cache memory is filled up and consequently a large amount of  
5 data stored in the cache memory are stored in the disk memory device at the cost of much time when the power supply is interrupted.

An object of the invention is to achieve sequential write to a memory medium from a cache  
10 memory.

To accomplish the above object, in a synchronous point process of an application program in a host unit, a flash command is applied to a cache memory attached to a storage unit to make the  
15 synchronous point process cooperative with a flash process to thereby permit data to be stored sequentially in a memory medium before the cache memory is filled up.

#### BRIEF DESCRIPTION OF THE DRAWINGS

20 Fig. 1 is a block diagram showing a configuration of host unit and storage unit according to an embodiment of the invention.

Fig. 2 is a flowchart showing a synchronous point process of an application of the host unit in the  
25 embodiment of the invention.

Fig. 3 is a flowchart showing a flash command process by the storage unit in the embodiment of the

invention.

Fig. 4 is a flowchart showing a write request process by the storage unit in the embodiment of the invention.

5            Fig. 5 is a diagram showing a data structure of a cache management table in the embodiment of the invention.

#### DESCRIPTION OF THE EMBODIMENTS

The present invention will now be described  
10 by way of example with reference to the accompanying drawings. Referring first to Fig. 1, a host unit and a storage unit according to an embodiment of the invention are configured as illustrated therein. In the present embodiment, a system comprises a host unit  
15 10 and a storage unit 11. An application program 100 is operating in the host unit 10 and a read/write processing section 101 and a synchronous point processing section 102 are allotted to the application program 100. The read/write processing section 101  
20 issues a read request 103 and a write request 104 to the storage unit 11. The synchronous point processing section 102 issues a write request 104 and a flash command 106 to the storage unit 11. Allotted to the storage unit 11 are a cache controller 110, a cache  
25 memory 120 and a memory medium 130. The cache controller 110 consults a cache management table 117 to carry out hit decision 1130, cache read 113 and cache

write 114 as applied to the cache memory 120 and medium  
read 115 and medium write 116 as applied to the memory  
medium 130. The cache memory 120 is divided into  
partitions 121 volume by volume and one partition 121  
5 is fractionated or subdivided into partitions 122 each  
being in a unit of LU (logical unit). Stored in the LU  
are LBA's (logical block addresses). The memory medium  
130 has a plurality of volumes 131. In the present  
embodiment, the cache memory 120 is described as being  
10 divided into the partitions 121 each being in a unit of  
volume and the partitions 122 each being in a unit of  
LU but the division into partition 121 in a unit of  
volume and partition 122 in a unit of LU is not always  
necessary.

15 Referring to Fig. 5, there is illustrated a  
data structure of the cache management table 117 in the  
cache controller 110. The cache management table 117  
includes a file manager 51 and a cache manager 52. In  
the file manager 51, file names are held at file 501,  
20 volumes storing files are indicated at volume 502,  
stored LU's are indicated at LU 503 and stored LBA's  
are indicated at LBA 504. Indicated at mode 505 are  
available modes of which one is a write through mode in  
which upon a file write request, write operation is  
25 applied to both the memory medium 130 and the cache  
memory 120 and the other is a write after mode in which  
write to only the cache memory 120 is carried out  
during a write request and write operation to the

storage medium 130 is carried out during flashing. A head pointer of a list representing a cache management entry 53 used by a file of interest is held at cache pointer 506. The cache manager 52 includes a plurality  
5 of cache management entries 53 having information of corresponding cache pages. Next pointer 511 designates the next cache management entry 53 of the file of interest indicated at 501. Page 512 designates a page in the cache memory 120. LBA 513 indicates a store  
10 position in the memory medium 130. Held at reflected or unreflected 514 is a status as to whether the cache memory page of interest is reflected upon the memory medium 130 (coincidence of contents) or is not reflected upon the memory medium 130 (non-coincidence  
15 of contents).

Referring to Fig. 2, the flow of a synchronous point process in the synchronous point processing section 102 will be described. A write request 104 is made, in a write through mode, to update  
20 data held in a memory of the application program 100 and unreflected upon the storage unit 11 (step 21), a flash command 106 is issued to the storage unit 11 in order to reflect, upon the memory medium 130, a page being on the cache memory 120 and unreflected upon the  
25 memory medium 130 (step 22) and a write request 104 is made, in the write through mode, to the storage unit 11 for requesting write of a synchronous point journal which records, in the storage unit 11, completion of

the synchronous point process (step 23). In the case of a transaction process, the synchronous point (synchronous timing) corresponds to a checkpoint or the timing for commitment. Accordingly, the application  
5 program 100 may be a database management program or a transaction monitor. Alternatively, the application program 100 may be hardware, program or object suitable for notifying the synchronous timing.

Turning now to Fig. 3, the flow of a flash  
10 command process by the cache controller 110 will be described. A file manager 51 (see Fig. 5) of cache management table 117 is scanned and information of a first file at 501 is consulted (step 301). If a condition either for coincidence of a volume commanded  
15 by a flash command 106 with a volume at 502 or for non-designation of volume does not stand, the scanning is returned to the next file at 501 but if the condition stands, the program proceeds to the next step (step 302). Then, if a condition either for coincidence of  
20 an LU commanded by the flash command 106 with an LU at 503 or for non-designation of LU does not stand, the scanning is returned to the next file at 501 but if the condition stands, the program proceeds to the next step (step 303). Subsequently, if a mode at 505 does not  
25 coincide with write after, indicating that the file is reflected upon the memory medium 130, the scanning is returned to the next file at 501 but if the mode at 505 coincides with write after, the program proceeds to the

next step (step 304). Then, if a cache pointer at 506 does not point any cache management entry 53, the scanning is returned to the next file at 501 but if the cache pointer at 506 points a cache management entry  
5 53, the program proceeds to the next step (step 305). Thereafter, the cache management entry 53 is consulted (step 306). If a page indicated at 512 in the cache management entry 53 has been reflected upon the memory medium 130, the scanning is returned to the next cache  
10 management entry 53 but if it is not reflected upon the memory medium 130, the program proceeds to the next step (step 307). Then, the page indicated at 512 in the cache management entry 53 undergoes medium write 116 so as to be written to the memory medium 130 and  
15 the cache management entry 53 is changed to reflected (step 308). Subsequently, if the next pointer 511 is present, the scanning is returned to the next cache management entry 53 but if the next pointer 511 is absent, the program proceeds to the next step (step  
20 309). Then, if scanning of all files in the file manager 51 is not completed, the scanning is returned to the next file at 501 but if completed, the flash command process is ended (step 310). The cache controller 110 can be implemented with a program,  
25 object or hardware.

Turning now to Fig. 4, the flow of a write request process by the cache controller 110 will be described. By scanning the file manager 51 of cache

management table 117 to retrieve a file at 501  
coincident with a file designated by a write request  
104, a cache pointer at 506 is acquired (step 401).  
Then, a cache management entry 53 corresponding to  
5 write data is retrieved by collating them with each  
other (step 402) and if a cache management entry 53  
corresponding to the write data is hit, the program  
proceeds to the next step but if any cache management  
entry 53 corresponding to the write data is not hit  
10 (step 403), a new cache management entry 53 and a cache  
memory page are secured and a list of the cache  
management entry 53 is maintained (step 404).  
Subsequently, if a mode designated during the write  
request 104 is write after, the program branches to  
15 step 406 (step 405) and the write data undergoes cache  
write 114 so as to be written to the cache memory 120  
(step 406), the cache management entry 53 is changed to  
unreflected at 514 (step 408) and the program proceeds  
to step 410. On the other hand, if the mode designated  
20 during the write request 104 is write through, the  
program branches to step 407 (step 405) so that the  
write data undergoes cache write 114 so as to be  
written to the cache memory 120 and a medium write 116  
is applied to the memory medium 130 (step 407). Then,  
25 the cache management entry 53 is changed to reflected  
at 514 (step 409) and the program proceeds to step 410.  
If the collation of all write data with the cache  
management entry 53 is not completed, the program



returns to the collation retrieval but if completed,  
the program proceeds to the next step (step 410) and  
the mode designated during the write request 104 is set  
at mode 505 (step 411), thus ending the write request  
5 process.

As described above, even in the event that a  
fault causing the contents of the cache memory of  
storage unit to be lost takes place, it can be  
guaranteed that update contents of the application  
10 program prevailing up to the synchronous timing (check  
point or commitment) can be written in the memory  
medium and therefore, in the recovery process of the  
application program after the occurrence of the fault,  
the recovery start can be determined accurately.

15 Further, the sequential cache flash can be  
carried out by controlling the application program and  
therefore, in the event that a power supply failure  
takes place in the storage unit having a cache memory  
of a large capacity, much time required for handling  
20 the stop process can be avoided not by the control of  
the application but by the flash process of a large  
amount of update data stored in the cache memory.

It should be further understood by those  
skilled in the art that although the foregoing  
25 description has been made on embodiments of the  
invention, the invention is not limited thereto and  
various changes and modifications may be made without  
departing from the spirit of the invention and the

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scope of the appended claims.